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### **DECLARATION FOR UTILITY OR DESIGN** PATENT APPLICATION (37 CFR 1.63)

Declaration Submitted with Initial Filing

Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

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Attorney Docket Number		06406 USA
First Named Inventor		Junaid Ahmed Siddiqui
COMPL	ETE IF	KNOWN
Application Number	10/759,666	
Filing Date	01/16/2004	
Group Art Unit	175	5
Examiner Name		

		1					
As a below named inventor, I he	reby declare that:						
My residence, mailing address, an	My residence, mailing address, and citizenship are as stated below next to my name.						
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:							
Surface Modified Colloidal A							
Chemical Mechanical Plana	rization						
	(Title of t	he Invention)					
the specification of which	<b>(</b> 1.00 <b>0</b> 1.0						
is attached hereto							
OR ·		<del></del>					
x was filed on (MM/DD/YYYY)	01/16/2004	as United St	ates Application N	Number or PCT Inte	ernational		
Application Number 10/759,66	6 and was a	amended on (MM/DD/YY	m		(if applicable).		
					(п аррисарю).		
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.							
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.							
I hereby claim foreign priority ben- or plant breeder's rights certificate	<u> </u>		of any foreign ap	oplication(s) for pate	ent, inventor's		
or plant breeder's rights certificate than the United States of Americ patent, inventor's or plant breeder application on which priority is claimage.	a, listed below and hav 's rights certificate(s), o	woled belttrebi ozla ev	hy checking the	hov any fornion	annlication for		
Prior Foreign Application Number(s)	Country	Foreign Filing Date	Priority	Certified Copy			
Rumber(5)	·	(MM/DD/YYYY)	Not Claimed	YES	NO .		
					$\mathbb{H}$		
Additional foreign application	numbers are listed on a	l supplemental priority da	ita sheet PTO/SB/	/02B attached here	to:		

[Page 1 of 2]

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## **DECLARATION** — Utility or Design Patent Application

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.						
NAME OF SOLE OR FIRST INV	ENTOR:			A petiti	on has been fil	ed for this unsigned inventor
Given Name (first and middle [if any]) Junaid Ahmed  Family Name or Surname						
Inventor's Junaid Alimed Siddery Date 5/14/2004						
Residence: City Richmond			VA U State		JSA Country	USA Citizenship
5720 Turkey Oak Road Mailing Address						
Mailing Address						
Richmond City	VA State		-	ZIP	23237	USA Country
NAME OF SECOND INVENTOR	:			A petiti	on has been fil	ed for this unsigned inventor
Given Name (first and middle [if any])				Family I		
Inventor's Signature						Date
Residence: City			State	· · · · · · · · ·	Country	Citizenship
Mailing Address			,		1	1 - monomp
Mailing Address						
City	State			ZIP		Country
Additional inventors are being named		suppleme	ntal Additio		tor(s) sheet(s) PT	D/SB/02A attached hereto.

PTO/SB/96 (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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#### **STATEMENT UNDER 37 CFR 3.73(b)** Applicant/Patent Oweer: Junaid Ahmed Siddiqui \_\_\_ Filed/<del>lecur-Bate</del>: 01/16/2004 Application No./Patent No.: 10/759,666 Surface Modified Colloidal Abrasives, Including Stable Bimetallic.: **DuPont Air Products NanoMaterials LLC** corporation (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) states that it is: 1. the assignee of the entire right, title, and interest; or 2. an assignee of less than the entire right, title and interest. The extent (by percentage) of its ownership interest is in the patent application/patent identified above by virtue of either: A. \(\sqrt{A}\) An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. OR B. [ ] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown 1. From: -The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_\_, Frame \_\_\_\_\_\_, or for which a copy thereof is attached. 2. From: To: The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. 3. From: The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. [ ] Additional documents in the chain of title are listed on a supplemental sheet. [ ] Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08] The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. Geoffrey L. Chase 610-481-7265 Telephone number *≴*signa∕ture Assistant Secretary Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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POWER OF ATTORNEY **CORRESPONDENCE ADDRESS** 

aperwork Reduction Act of 1995, no persons are n		mation unless it displays a valid OMB control number.
OWER OF ATTORNEY and ESPONDENCE ADDRESS INDICATION FORM	Application Number	10/759,666
	Filing Date	01/16/2004
	First Named Inventor	Junaid Ahmed Siddiqui
	Title	Surface Modified
	Art Unit	1755
	Examiner Name	
•	Attorney Docket Number	06406 USA

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Practitioners at Customer Number:	23543			
OR				
Practitioner(s) named below:	•			
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Applicant/Inventor.				
Assignee of record of the entire in Statement under 37 CFR 3.73(b)				
SIGNATURE of Applicant or Assignee of Record				
• •				
Name Geoffrey L/Cha	se/// //			
Signature William	Sellan			
00011747 7// 0111	Elhan	Telephone   610-481-7265		
Signature Lifting Date 2	May 2001 es of record of the entire interest or their representative(s)			

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#### **ASSIGNMENT**

For value received, I, Junaid Ahmed Siddiqui, hereby sell, assign, and transfer to **DUPONT AIR PRODUCTS NANOMATERIALS LLC**, a corporation of the State of Delaware, having an office at 7201 Hamilton Boulevard, Allentown, PA 18195-1501, the entire right, title, and interest in and to the invention relating to

# Surface Modified Colloidal Abrasives, Including Stable Bimetallic Surface Coated Silica Sols For Chemical Mechanical Planarization

described in our application for Letters Patent of the United States (identified by Docket No. 06406 USA) executed of even date herewith, in and to all Letters Patent which may be issued upon said application, in and to any divisional or reissue applications based thereon, and in and to all Letters Patent upon said invention or improvements which may be granted in foreign countries. I hereby also assign and convey to said **DUPONT AIR PRODUCTS NANOMATERIALS LLC**, all the rights accruing to us by virtue of the International Convention for the Protection of Industrial Property (Art. 4), including the right to apply for and to have patents issued in its own name.

And I hereby authorize and request the Commissioner of Patents and Trademarks of the United States and the Officials of the Patent Offices of all other countries to issue the Letters Patent of their respective countries to said **DUPONT AIR PRODUCTS NANOMATERIALS LLC**, as assignee of the entire right, title, and interest in and to the same.

And I hereby promise and agree to execute all papers and perform all acts necessary to secure to and vest in said **DUPONT AIR PRODUCTS NANOMATERIALS LLC**, its successors and assigns, the rights conveyed as herein set forth.

Junaid Ahmed Siddiqui

Date

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